

FORM PTO-1392 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 02-2005)

ATTORNEY'S DOCKET NO.

980049.402USPC

506,721

U.S. PATENT AND TRADEMARK OFFICE 37 CFR 1.5)

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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/US03/06793

INTERNATIONAL FILING DATE

March 4, 2003

PRIORITY DATE CLAIMED

March 7, 2002

TITLE OF INVENTION

QUINAZOLINONE MODULATORS OF NUCLEAR RECEPTORS

APPLICANT(S) FOR DO/EO/US

Richard MARTIN, Jeffery D. KAHL, Brenton T. FLATT, and Ronald GRIFFITH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Statement Under 37 CFR 3.73(b); Copy of Assignment; Change in Entity Status Under 37 CFR 1.27(g)(2); Copy of Notice of Missing Requirements

U.S. APPLICATION NO. 10/506,721		INTERNATIONAL APPLICATION NO. T/US03/06793		ATTORNEY'S DOCKET NUMBER 980049.402USPC	
The following fees are submitted					
21. <input type="checkbox"/> Basic national fee.....				\$.00
22. <input type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$.00
All other situations				\$.00
23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$.00
International Search Report prepared and provided to the Office				\$.00
All other situations				\$.00
TOTAL OF 21, 22 AND 23 =				\$.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		X \$250.00	\$.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$.00
Claims	Number Filed	Number Extra	Rate		
Total Claims	81 - 20 =	61	x \$ 50.00	\$3050.00	
Independent Claims	- 3 =		x \$ 200.00	\$.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$.00
TOTAL OF ABOVE CALCULATIONS =				\$.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$.00
SUBTOTAL =				\$.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$.00
TOTAL NATIONAL FEE =				\$.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$.00
TOTAL FEES ENCLOSED =				\$3050.00	
03/25/2005 SNAJARRO 00000117 10506721				Amount to be refunded:	
01 FEB:1206 3050.00 OP				Amount to be Charged:	
a. <input checked="" type="checkbox"/> A check in the amount of \$3050.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 19-1090 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
SEND ALL CORRESPONDENCE TO:					
Carol J. Roth, Seed Intellectual Property Law Group PLLC 701 5 th Avenue, Suite 6300 Seattle, WA 98104-7092 United States of America (206) 622-4900			SIGNATURE Carol J. Roth NAME 32,783 REGISTRATION NUMBER		